Advisory Services for Business Sector

RD ICAC SYMPOSIUM 第三屆國際會議 Corporate Corruption Integrity & Governance

商界防貧服務

As Hong Kong is an international financial centre, the Advisory Services Group (ASG) of the Corruption Prevention Department gives priority to conducting reviews of the work procedures of market regulators with a view to enhancing the regulatory regimes and fostering good corporate governance practices. In 2004 and 2005, ASG reviewed the respective listing procedures of the Hong Kong Exchanges and Clearing Limited and the Securities and Futures Commission, the former's handling of complaints against listed companies and the latter's enforcement procedures against market misconduct committed by its licensees.

ASG assists individual private sector companies, large or small, to formulate codes of conduct for staff and to improve business operations by enhancing internal controls and plugging corruption loopholes. So far over 3,000 companies/organisations have used ASG services. ASG also seeks to partner with trade bodies to help promote a clean business environment and good industry practice in particular through the compilation of a series of Best Practice Modules.



The latest modules are on estate management and hotel management 最新製作的《防貪錦囊》探討屋苑管理和酒店管理的防貪措施

Services Sector (e.g. construction companies, hotels, restaurants and cafes) 服務業(例如:建造業、酒店及酒樓食肆)45.9% **Trading Firms** 貿易公司 13.5% Manufacturing Companies (e.g. garment companies and electronics corporations) 製造業(例如:成衣及電子廠)10.2% **Property Management Companies** 物業管理公司 9.4% Banks & Finance Companies 銀行及財務公司 8.0% **Retail Companies** 零售公司 3.5% **Professional & Trade Associations** 工商專業團體 2.9% Miscellaneous 其他 6.8%

Nature of Advice Given to the Business Sector (2000-2005)

向商界提供防貪意見的類別(2000-2005年)

香港是一個國際金融中心,故防止貪污處轄下的私營機構顧問組一貫對審視各市場監管機構的工作程序,改善其監察機制及推廣良好企業管治等工作高度重視。在2004和2005年,該組分別就香港交易及結算所有限公司(港交所)和證券及期貨事務監察委員會(證監會)處理上市申請的程序進行檢討;又審視港交所處理涉及上市公司的投訴程序;以及證監會對持牌單位不當行為的執法程序。

私營機構顧問組也協助各大小私營公司制定員工紀律守則、加強內部監控及堵塞貪污漏洞,藉以提高營運成效。至今已有3,000多家公司/機構曾使用私營機構顧問組的服務。此外,該組亦編製一系列《防貪錦囊》,致力與工商團體攜手營造廉潔營商環境,推廣良好專業操守。